



EU-INDIA R&I Cooperation Horizon Europe –Work Programmes 2023-2024 Calls earmarked by Department of Biotechnology (DBT) Government of India

Co-Funding Modalities

HORIZON-HLTH-2024-DISEASE-08-20: Pandemic preparedness and response: Host-pathogen interactions of infectious diseases with epidemic potential

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Call & deadlines

- TOPIC ID: HORIZON-HLTH-2024-DISEASE-08-20
- Type Action : Research and Innovation Actions (RIA)
- Deadline model: Single-stage
- Opening date : 26 October 2023
- Deadline dates : 11 April 2024 (17h00 CET)
- EC Budget: EUR 50 million (EUR 7 and 8 million per project)
- IND Budget: As per requirement of the project

Where to find call text?

Horizon Europe - Funding & Tenders Portal

Commission Sir	ngle Electronic Data Interchange Area (SEDIA)			Register
HOME SEARCH FUNDING & TENDE	ERS ▼ HOW TO PARTICIPATE ▼ PROJECTS & RESULTS WORK AS AN EXPERT SU	JPPORT -		
ind ice, including snov	v cover, glaciers, ice sheets and permafrost, and their	interaction with climate change		
IZON-CL5-2024-D1-01-02				
c Call for proposal				
rnal navigation <	General information			
eneral informations	Programme Horizon Europe Framework Programme (HORIZON)		Budget overview	
pic description	Call			
stination	Climate sciences and responses (HORIZON-CL5-2024-D1-01)			
nditions and documents	Type of action HORIZON-RIA HORIZON Research and Innovation Actions	Type of MGA HORIZON Action Grant Budget-Based [HORIZON-AG]	(re Open for submission)	
rtner search announcements	Deadline model	Opening date	Deadline date	
rt submission	single-stage	12 September 2023	05 March 2024 17:00:00 Brussels time	
ic related FAQ				
t support	Topic description			
l updates	ExpectedOutcome:			
o back to search results	Actions are expected to contribute to all of the following expected outcomes: • Advanced knowledge on the impacts of climate change and different natural and Project, or the IPBES.	socio-economic drivers on inland ice and permafrost, and its global repercussions, including climate-ecosys	stem interactions, which is relevant to international initiatives, such as the World Climate Research Progra	Imme (WCRP) ^[1] 's Climate and Cryosphe
		form the international climate assessments (e.g. CMIP models, CORDEX) and support the development of "dig sses with focus on dynamic and vulnerable regions that may lead to high impact changes.	gital twins" under the Destination Earth Initiative and the evolution of Copernicus.	
	 Supported climate change adaptation strategies including, where relevant, the de Scope: 			
	Snow cover, ice sheets and glaciers affect not only the Earth radiation balance and interlinked with permafrost in areas where average ambient air temperature is below	the global climate, but also continental climate systems, the weather of circumpolar regions and their terrest v 0°C.	trial and oceanic carbon dynamics, ecosystems, and sea level. Snow and ice cover regulate the properti	s of the ground underneath and are
	The research actions should contribute to choose inc. modelling, and projecting the a	becauteristics welling and discourse of island issued permeters tic relevant regions, importion regional and	olobal climate. takino inter-seasonal. annual. decadal. as well as lono-term (centuries) changes into acco	

European Commission

Where to find DBT co-funding guidelines?

<u>DBT</u>



जैव प्रौद्योगिकी विभाग **Department of Biotechnology** Ministry of Science & Technology Government of India



EUROPEAN UNION

ANNOUNCEMENT INDIA-EU COOPERATION ON RESEARCH & INNOVATION (R&I)

DBT ANNOUNCES CO-FUNDING PARTNERSHIP UNDER THE EU FRAMEWORK PROGRAMME ON R&I 'HORIZON EUROPE' CALLS 2023-2024

Collaboration in Science, Technology and Innovation (STI) plays an increasingly important role in the EU-India Strategic Partnership. In line with the EU-India



Who can participate?

Horizon Europe:

- 'By-default' open to almost all countries;
- Any legal entity i.e company, big or small/SME/startups, research organisations, universities, non-governmental organisations, regardless of their place of residence or establishment in "Europe or Non-European countries" – researchers, NGO. Public or private;

India:

- Central/State Government supported or recognized (Public or Private) academic and/or research institution(s);
- National/State funded R&D Labs;
- Scientific/R&D performing Indian industry may participate voluntarily (own funding);

Horizon Europe Proposal Eligibility: (1/2)

Collaborative projects such as RIA/IA:

- Consortium must include, as <u>beneficiaries</u>: minimum <u>three legal entities from</u> <u>EU MS/AC</u> independent from each other and each <u>established in a different</u> <u>country</u> as follows:
 - ✓ at least one independent legal entity established in a Member State (MS); and
 - ✓ at least two other independent legal entities, each established in different Member States (MS) or Associated Countries (AC).

Horizon Europe Proposal Eligibility: (1/2)

27 EU Member States (MS)

Austria, Belgium, Bulgaria, Croatia, Republic of Cyprus, Czechia, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, The Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain and Sweden

17+2 (19) Horizon Europe Associated Countries (AC)* :

Albania, Armenia, Bosnia and Herzegovina, Faroe Islands, Georgia, Iceland, Israel, Kosovo, Moldova, Montenegro, New Zealand (associated to HE Pillar II 'Global Challenges), North Macedonia, Norway, Serbia, Tunisia, Türkiye, Ukraine

- Morocco (applicable for the entire Programme)
- United Kingdom (once the Protocol is adopted applicable for the entire HE Programme and except EURATOM Programme)

UK: Once the Associated Country status is in force on **1 January 2024**, the entities established in the UK will participate under equivalent conditions as those applicable to entities established in the Union in all calls or contests implementing the programme's budget of 2024 and onwards, with the very limited aforementioned exceptions. For example, entities established in the UK will be eligible for EU funding at the same rates and under the same conditions as beneficiaries or contestants for prizes from EU Member States. They will be able to lead project consortia. They will also count towards the minimum number of countries in calls for transnational projects.

See updates <u>https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/list-3rd-country-participation horizon-euratom en.pdf</u> *updated - HE association agreements status as of 15.09.2023

India Proposal Eligibility: general conditions

- Number of participant from India in co-funded call is <u>not an eligibility condition of HE</u>
- To obtain DBT co-funding, one or more entities per proposal from India are encouraged to increase impact;
- Attention: at least <u>one publicly</u> funded Indian entity must be part of a selected project to avail DBT funding;
- Proposal must include: minimum 3 EU MS/AC partners + 1 Indian or more + open to other non-European countries;
- Please check additional eligibility conditions in call text.

Who is eligible for HE funding?



- Member States (MS) including their outermost regions -27
- The Overseas Countries and Territories (OCTs) linked to the MS.



NON-EU COUNTRIES

- Countries associated to Horizon Europe (AC) – (currently 19)
- 120 Low and middle income countries: See <u>HE Programme</u> <u>Guide</u>.
- Other countries (not automatically eligible for EU funding, except if stated in call text or considered participation essential by
 - participation essential by
 EC/funding body)



SPECIFIC CASES

- Affiliated entities established in countries eligible for funding.
- EU bodies
- International organisations (IO):
 - International European research organisations are eligible for funding.
 - **Other IOs** (not automatically eligible for EU funding, only when announced in the call or exceptionally if their participation is **essential**)

Funding of European partners is as **per Horizon Europe rules** of participation and in function of type of action [RIA & IA] – see HE programme guide

Non-European Countries not automatically funded Exceptional funding / Co-funding / own funding



NON-EU COUNTRIES

not automatically eligible for EU funding

- Industrial & Emerging Economies countries
- (e.g. US, CA, AU, NZ, JP, KOR, CN, India, BR, MX etc.)
- Associated Partners

Exceptional funding

Participation deemed essential by the EC (outstanding expertise, access to research infrastructure/data etc.) Co-Funding Bilateral agreement between EU and Third Country

INTERNATIONAL ORGANISATIONS

not automatically eligible for EU funding

• UN, UNESCO, WHO etc.

Other IOs (only when announced in the call or exceptionally if their participation is **essential**)

Specific provision mention in the call text for TC funding

Who is eligible for DBT Co-funding?

- Public or Private: academic/universities, research institutions, national & state labs:
 - must have a well-established research support system;
 - submission of proof of establishment under Indian statue;
 - ✓ recognition documents and registration at Government of India's <u>Public Finance</u> <u>Management System (PFMS) - https://pfms.nic.in</u> shall be obligatory;
- Industry: Scientific/R&D performing Indian industry may participate on its <u>own</u> resource/funding;

Attention: Only co-funding available in HE calls earmarked by DBT (4 calls)

What is funded by Gol. under co-funding?

- 100% funding (Grant-in-aid) → Public or Private academic and/or research institution(s), National/State funded R&D Labs
- IND contribution per project:
 - DBT: As per requirement of the project (INR)
- Eligible costs:
 - Capital expenditure (equipment's)
 - Manpower
 - Consumables
 - Travel (local and international travel)
 - Contingency
 - Overheads
 - Outsourcing
 - others (training & awareness; workshops; publications; review meetings, etc.)

Admissibility: Horizon Europe

- Applications must be **submitted before the call deadline**.
- **Electronic submission** via Funding & Tenders Portal is mandatory.
- Paper submissions are NOT accepted.
- Use **forms inside** the electronic submission system.
- Applications must be complete, readable, accessible, and printable.
- Include an exploitation and dissemination plan (unless exempt).
- Blind evaluation pilot applicants must not disclose identity in Part B.

Page Limits

- Page limits apply to specific sections, as indicated in templates.
- Full application limit: **45 pages**
- Exceeding limits results in a warning and need for resubmission.
- Excess pages are invisible after the deadline and not considered by evaluators.

General Gol. co-funding conditions?

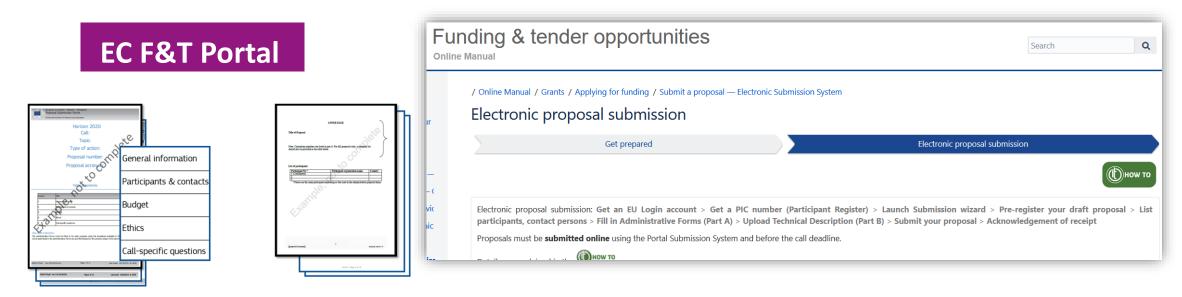
- Indian entities to comply terms & conditions of funding agency DBT! see web notice;
- Proposal not submitted to both funding portals/agencies will be disqualified;
- Co-funding subject to **positive evaluation** by respective Indian funding agencies;
- Indian entities **not to sign the EC Grant Agreement**!
- Duration: up to 3 to 4 years;

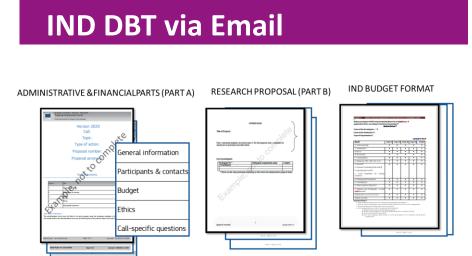
Admissibility: general conditions of Gol.

Application must be:

- Jointly developed by EU + India + other partners in prescribed HE template (Part A & B);
- Submitted electronically to Funding & Tenders Portal electronic submission system by the call deadline (task of European Project Coordinator);
- Submitted to Gol. i.e. same proposal as submitted to Horizon Europe F&T Portal + in annex administrative & financial form required by DBT;
- Submitted to DBT via email within 7 working days after the HE call closing date;
- Complete and contain all parts and mandatory Annexes and supporting documents must be readable, accessible and printable;

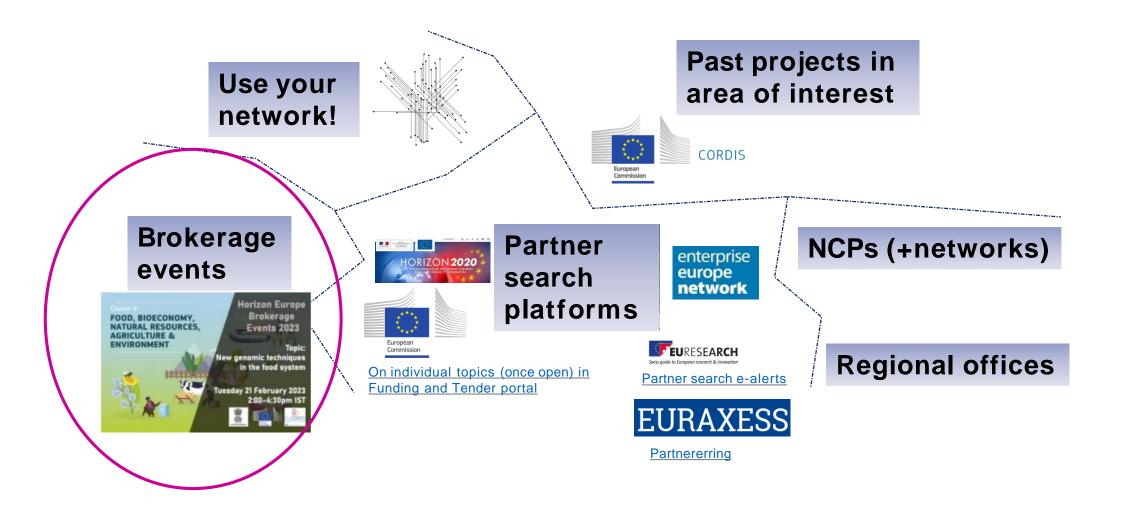
Submission of Proposal







Consortium – How to Find Partners?



Consortia Formation

- At least <u>3 legal organisations</u> from <u>3 different EU Member States and/or Associated</u> Countries (at least 1 from EU MS);
- <u>Additional legal organisations</u> depending on project requirement from Europe, India, and other non-European countries could be included;
- Partners: <u>multidisciplinary</u>, cross-sectoral & cross-border participation encouraged;
- Gender balance: female participation highly encouraged;
- One Project Coordinator from EU consortium to decide;
- One lead scientific coordinator from India.

India - Regulatory & Ethical Considerations (If Applicable)

- Notifications/Orders issued towards expeditious consideration of various regulatory aspects in response to COVID-19 pandemic (see DBT website);
- Research using hazardous microorganisms, genetically engineered (GE) organisms
 & products thereof for R&D purpose;
- Human and Animal Subjects Research;
- Authorizations for pre-clinical and/or human clinical trials.

Attention: Lead scientific coordinator from India must have good understanding of DBT regulations and experience in <u>ethical and pre-</u> <u>clinical procedures of Govt. of India!</u>

India IPR: Ownership, Protection & User rights

- Specify and agree on central IP arrangements as part of a comprehensive and elaborated Consortium Agreement.
- IP management should cover the following aspects:
 - Knowledge management
 - Confidentiality obligations
 - Background
 - Ownership and transfer of ownership of results
 - Protection and exploitation of results
 - Dissemination
 - Access rights
 - Settlement of dispute

IPR issues for all the proposals need to satisfy the **S&T agreement between EU and India**

Application form (proposal template)

The proposal contains two parts:

- Part A (web-based forms) is generated by the IT system. It is based on the information entered by the participants through the submission system in the Funding & Tenders Portal.
- **Part B** is the narrative part that includes three sections that each correspond to an evaluation criterion. Part B needs to be uploaded as a PDF document **following the templates available in the submission system** for the specific topic.
- Annex (*if applicable*):
 - Co-fund
 - Lump Sum
 - Financial Support to Third Parties
 - Clinical trials



Type of participants

Beneficiaries

- Sign the grant agreement
- Full rights and obligations
- Contribute to reach minimum eligibility requirement

Affiliated entities

- Legal or capital link with a beneficiary
- Participate with similar rights and obligations to the beneficiaries
- **Do not sign** the grant agreement

Associated partners

- Participate in the action
- **Do not sign** the grant agreement
- Cannot charge costs or claim contributions



INDIA - Associated Partner

- Entities receiving IND funding in ₹ Do not sign EU's grant agreement
- Can participate in all collaborative projects in the role of "Associated Partner";
- Your activities and global budget must be specified in the proposal;
- Can Lead Work Packages/Partner (can not be coordinator);
- Have a 9-digit Participant Identification Code (PIC);
- Sign the Consortium Agreement or similar agreement;
- Being listed in Art. 9.1 of the EC Grant Agreement.

INDIA - Associated Partner Budget [Part A]

						Estimate		_				Ň	Es	timated incom	ie		
					Estimated expenditure					Requested EU contribution			Revenues Othe f		ther sources of financing		
						Estimated	l eligible cos	25		~	EU cor	ntribution to elig	gible costs				Total estimate d income
			A. Personnel costs/€	B. Subcontracti ng costs/€		Purchase or		D. Other cost categories	E. Indirect costs/€ (e) = 25% * [(a1) + (c1) + (c2) + (c3)	Total eligible costs	Funding rate	Maximum Requested EU EU contributio contributio n to n to		Income generated by the	Financial contributi ons		(s)=(n)
No	Participant name	Country	(31)	(b)	C.1 Travel and subsiste nce/€ (c1)	C.2 Equipm ent/€ (c2)	C.3 Other goods, works and services /€ (c3)	D.X [specific cost category] /€ (dx)	(a1)+(c1) +(c2)+(c3) +(d7)]	$\begin{array}{l} (h) = (a1) + \\ (b) + (c1) + \\ (c2) + (c3) + \\ (d) + (e) \end{array}$	(U)	eligible costs (I) = (U) * (h)	eligible costs/€ (Requeste d grant amount) (m) (n)	(c)	(q)	(r)	(s)=(n) +(0)+(p)+ (q) + (r)
1	Participant 1	NL						0									
2	Participant 2	LB					N N										
	Affiliated Entity	LB				S	1										
3	Participant 3	DE			Ś												
	Associated Partner	AR	\nearrow		$\left \right\rangle$						\square						
	Total			.?													

Evaluation Criteria

Horizon Europe Evolution Criteria

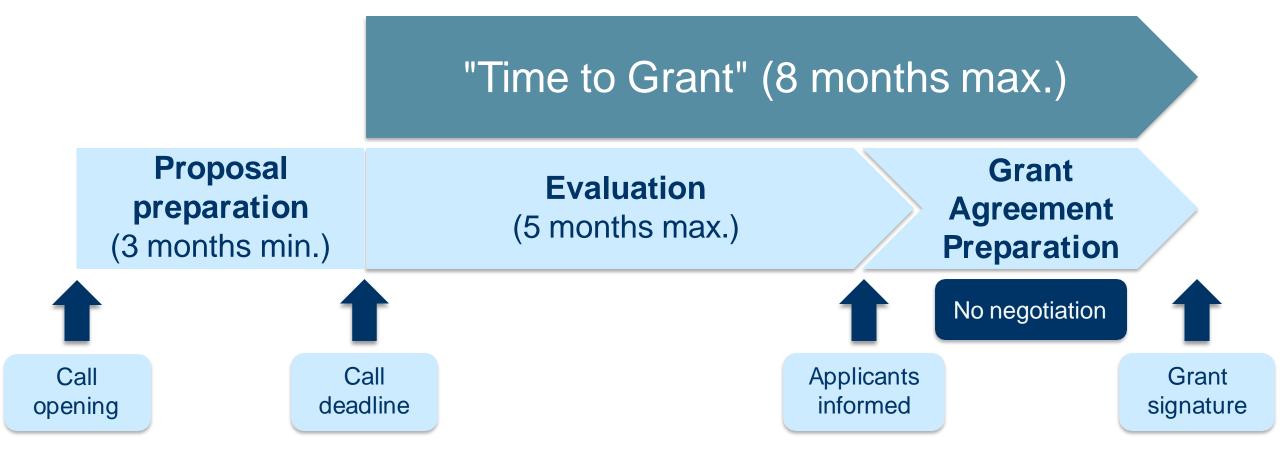
Excellence	Impact	Quality and efficiency in the implementation
 Clarity and pertinence of the objectives; Credibility of the proposed approach; Soundness of the concept, including trans disciplinary considerations, where relevant; Extent that proposed work is ambitious, has innovation potential, and is beyond the state of the art (e.g. ground breaking objectives, novel concepts and approaches). 	 The expected impacts listed in the work programme under the relevant topic Enhancing innovation capacity and integration of new knowledge; Strengthening the competitiveness and growth of companies by developing innovations meeting the needs of European and global markets; and by delivering such innovations to the markets; Any other environmental and socially important impacts; Effectiveness of the proposed measures to exploit and disseminate the project results 	including risk and innovation management.

Gol. Evolution Criteria

- Soundness, scientific quality and technological merit;
- Potential for wide application and the benefits expected to accrue from commercialization;
- Adequacy of the proposed effort;
- Capability of the R&D institution(s) in the proposed action network;
- Organizational and commercial capability of the enterprise, including its internal accruals;
- Reasonableness of the proposed cost and financing pattern;
- Measurable objectives, targets and milestones;

Proposals positively evaluated/selected by **both sides** will be considered for cofunding by the DBT

HE Timeline



Information sources

- GoI website: <u>DBT</u> guidelines for Indian applicants
- Horizon Europe Website with call details: <u>Funding & Tenders Portal</u>
- Partner Search
 - ✓ Horizon Europe: Guide to an adequate partner search
 - ✓ Express interest to specific call
 - ✓ euMatch2.0
 - ✓ <u>NCP Network</u>
 - ✓ <u>CORDIS</u>
- Information and Brokerage events: EURAXESS India website.

EU Login Account

Commission EU Login

How to authenticate with EU Login

EU Login is the entry gate to sign in to different European Commission services and/or other systems. EU Login verifies your identity and allows recovering your personal settings, history and access rights in a secure way. You can sign in using social media accounts or the EU Login account.

- Each user has to have an EU Login account to log in on the Portal.
- 1 EU Login account = 1 e-mail address = 1 person
- <u>https://ec.europa.eu/sfc/sites/default/files/eu_login_tutorial.pdf</u>

Hep for external users First name Last name E-mail Confirm e-mail E-mail Inguage Engish (en) Enter the code Define the code Define the c	Crea	ate an acco	bun
E-mail Confirm e-mail E-mail language English (en) Enter the code Description of the solution			
Confirm e-mail E-mail language English (en) Enter the code Description Descrip	Last name	é	
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By checking this box, you acknowledge that you have read and understood			~
that you have read and understood	Enter the		
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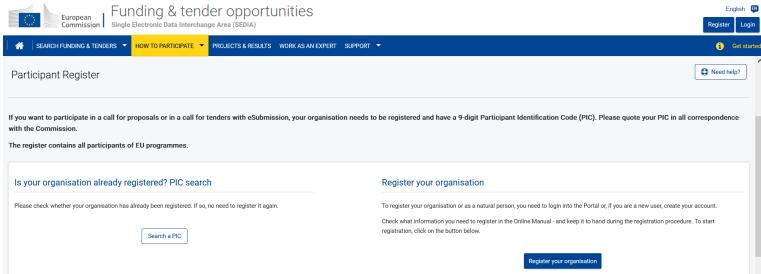
Fill in the provided form with your personal details:

- First name Your first name cannot be empty and can contain letters in any alphabet;
- Last name Your last name cannot be empty and can contain letters in any alphabet;
- E-mail An e-mail address that you have access to;
- **Confirm e-mail** Type your e-mail address again to make sure it is correct;
- E-mail language The language used when EU Login sends you e-mails regardless of the language used in the interface. It guarantees that you are able to understand these e-mails even if they were triggered mistakenly. EU Login only sends you e-mails for validating your identity or for notifying you about security events affecting your account;
- Enter the code By entering the letter and numbers in the picture, you demonstrate that you are a human being who is legitimately creating an account. If the code is too difficult to read, click on the button with two arrows to generate a new one;
- Check the privacy statement by clicking on the link and tick the box to accept the conditions;
- Click on "Create an account" to proceed

Registration of Organisation

Remember.. Before starting ... Register your organisation

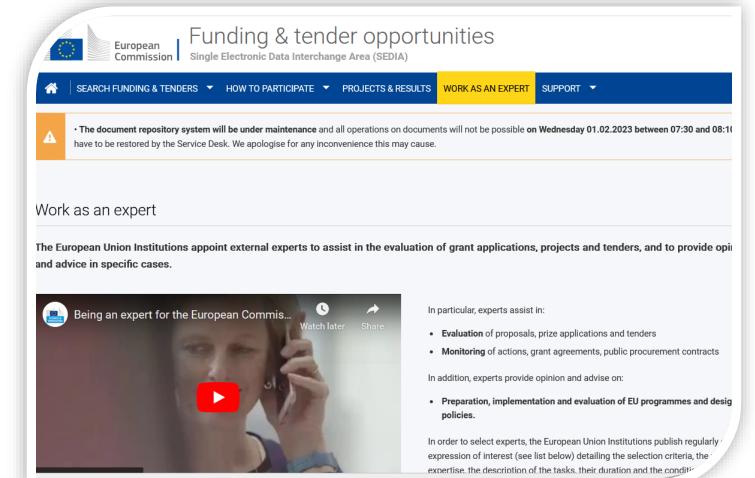
- If you want to participate in a project proposal, your organisation needs to be registered and have a **9-digit Participant Identifications Code (PIC)**
- You can verify whether your organisation is already registered and has a PIC on Portal
- <u>https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/how-to-participate/participant-register</u>
- If not, you can start the registration process on the same page and, once completed, get the PIC to be quoted in your proposal and in any correspondence with the Commission.



Work as an expert

Work as an expert (if not involved in project)

- For evaluation of proposals
- For project review
- Website https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/work-as-an-expert



Thank you for your Attention!

EU DEL IND



Mr Pierrick FILLON-ASHIDA EU DEL IND



Dr Vivek DHAM EU DEL IND EU: <u>delegation-india-ri@eeas.europa.eu</u> DBT



Dr Dhananjay Tiwary



Dr Abhishek SINGH DBT DBT: <u>icone@dbt.nic.in</u>

Brokerage Event Calendar

Event	Date & Time (2:00 pm -4:30 pm IST) (9:30 am-12:00 pm CET)	Event Page/ Registration link	
EVENT 1 Brokerage: CLIMATE CHANGE - Inland ice (MoES)	Thursday, 5 October (2:00-4:30 pm IST)	<u>click here</u>	
EVENT 2 Brokerage: HEALTH – Pandemic preparedness (<u>DBT</u>)	Friday, 13 October (2:00-4:30 pm IST)	<u>click here</u>	
EVENT 3 Brokerage: AI - Efficient trustworthy AI (<u>DST</u>)	November (2:00-4:30 pm IST)	<u>click here</u>	

